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PATENT

ATTORNEY DOCKET NO. 10269/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Keiser et al.
Serial No. : 09/465,607 Examiner: Frantzy Poinvil
Filed : December 17, 1999 Group Art Unit: 2164
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH VIRTUAL CURRENCY AND VIRTUAL
SPECIALIST FUNCTION

Assistant Commissioner for Patents
Washington, D.C. 20231

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MAR 29 2002

Technology Center 2100

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action dated September 12, 2002, please amend as follows:

REMARKS

In the Office Action dated September 12, 2002, the Examiner rejected claims 1-22 under 35 U.S.C. §103 as obvious over U.S. Patent No. 5,724,524 issued on March 3, 1998 to Hunt et al. The applicants respectfully traverse the rejection and reconsideration is requested as explained below.

The Examiner indicates that Hunt et al. discloses the applicants' claimed invention except that the traded commodities are different. In describing the similarity between Hunt et al. and the applicants' claimed invention, the Examiner states that "... in memory, data is data and the type of data does not affect the functioning of systems having similar data processing logic". The Examiner points out that traded securities take on a number of forms, including biotech,